Rec'd PCT/PTO 2 9 SEP 2001

ey Docket Number

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371

59150.8010

U.S. Application No. (if known, see 37 CFR §1.5)

**19/937839**Not yet Assigned

International Application No.			International Filing Date	Priority Date Claimed							
PCT/JP01/00782			02 February 2001	02 February 2000							
Title of Invention											
VIRUS ENVELOPE VECTOR FOR GENE TRANSFER											
Applicant(s) for DO/EO/US											
Yasufumi Kaneda											
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information											
1	$\boxtimes$	This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.									
<u>빌</u> 20		This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.									
		This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).									
1		A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.									
<u>.</u>	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. §371(c)(2))									
	a.	is transmitted herewith (required only if not transmitted by the International Bureau).									
	b.	has been transmitted by the International Bureau.									
MU Mi	c.	is not required, as the application was filed in the United States Receiving Office (RO/US).									
fund fund the functional fund		A translation of the International Application into English (35 U.S.C. §371(c)(2)).									
	$\boxtimes$	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
¨ <b>.</b>	a.	are transmitted herewith (required only if not transmitted by the International Bureau).									
	b.	have been transmitted by the International Bureau.									
	c.	have not been made; however, the time limit for making such amendments has NOT expired.									
	d.	have not been made and will not be made.									
8.		A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) (unsigned).									
10.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5).									
Items 11. to 16. below concern document(s) or information included:											
11.		An Information Disclosure Statement under 37 CFR §1.97 and §1.98.									
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included.									
13.	$\boxtimes$	A FIRST preliminary amendment.									
		A SECOND or SUBSTITUTE preliminary amendment.									
14.		A substitute specification.									
15.		A change of Power of Attorney and/or Address letter.									

U.S. Application No. (if known, see 37 CER 41.5) 8 3 9 International Application No.					Attorney's Docket No.				
		09/93/839 et yet Assigned PCT/JP01/00782		59150.8010					
17.	The	following fees are submitted:			CALCULATIONS	PTO USE ONLY			
BASIC NAT	TIONAL FEE (37	CFR §1.492(a)(1)-(5):							
	Neither internation	onal preliminary examination fee (3 d International Search Report not							
		minary examination fee (37 CFR § PO or JPO \$ 860.00							
	International prefee (37 CFR §1.44	liminary examination fee (37 CFR 45(a)(2)) paid to USPTO							
	International pre- satisfy provisions	liminary examination fee paid to U of PCT Article 33(1)-(4)							
		minary examination fee paid to US							
			ENTER APPROPRI	ATE BASIC FEE AMOUNT =	\$860.00				
Sarcharge of		hing the oath or declaration later t	s						
Ū ci	LAIMS	NUMBER FILED	NUMBER EXTRA	RATE					
Total Claims	s	36 - 20 =	16	× \$ 18.00	\$288.00				
Independent	t Claims	7 - 3 =	4	× \$ 80.00	\$320.00				
Mulitiple De	pendent Claim(s) (i	f applicable)		+ \$270.00	s				
4			\$1468.00						
	licant claims sma	all entity status. See 37 CFR	s						
ni ni			\$1468.00						
Processing fo	Tee of \$130.00 for fu R §1.942(f)).	umishing the English translation la	s						
	ording the enclosed at .28, §3.31). \$40.00	assignment (37 CFR §1.21(h)). Th	s						
			\$1468.00						
			Amount to be: refunded	s					
					charged	s			
а.	a. A check in the amount of \$\frac{*}{2}\$ to cover the filing fees is enclosed.								
<b>b</b> .	Plea	Please charge Deposit Account No. 50-0665 in the amount of \$1468.00 to cover the above fees. This sheet is provided in triplicate.							
c.	The	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0665.							
		an appropriate time limit under re the application to pending sta		t been met, a petition to revive (3		pe filed and			
SEND ALL CORRESPONDENCE TO:									
	Perkins Co								
	P.O. Box 2		0						
	Menlo Par	k, CA 94026							





## IN THE UNITED STATES OF AMERICA AS AN ELECTED OFFICE (EO/US)

IN RE APPLICATION OF: Yasufumi Kaneda

SERIAL NO.: Not yet Assigned

PCT FILING DATE: 02 February 2001

PRIORITY DATE: 02 February 2000

Title: Virus Envelope Vector for Gene Transfer

## **CERTIFICATE OF MAILING BY EXPRESS MAIL**

**Box: PCT** 

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Attn: DO/EO/US

Sir:

Express Mail Label No: EL 889 535 536 US

Date of Deposit: 28 September 2001

I hereby certify that the attached filing: application transmittal under 35 USC § 371, an oath or declaration of the inventors under 35 U.S.C § 371, and a Preliminary Amendment, are being deposited with the United States Postal Service "Express Mail Post office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, Attention: DO/EO/US.

Date: September 28 / 700/

Jame: 4000

*J*ennifer L. Mahoney

Correspondence Address:

Customer No. 22918 Phone: 650 838-4300